Attorney Docket No.: 111325-43 (160100)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

In re Patent Application of:)	OCT 2 8 2004
Guillermo LAO, et al.) Examiner: Unassigned	Technology Center 210
Application No.: 10/046,695) Group Art Unit: 2171	
Filed: January 17, 2002)	
For: METHOD AND APPARATUS FOR MANAGING DIGITAL CONTENT USAGE RIGHTS) Confirmation No.: 3596))	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 (b)

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22131

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits for the above-referenced application. The listed documents were cited in a European Search Report. The Search Report was mailed on July 20, 2004. Copies of the listed documents are attached.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Application No.: 10/046,695 Attorney Docket No.: 111325-43(160100)

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380. (111325-43/160100).

Respectfully submitted,

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Enclosures:

PTO-1449 w/4 references

and European Search Report

/lms

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE			LOSURE	Application Number	10/046,695
STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	January 17, 2002		
		First Named Inventor	Guillermo LAO, et al.		
		Art Unit	3596		
		Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	111325-43 (160100)

			U.S. PATENT DOCUMEN	NTS	
Examiner Cite Initials* No. ¹		U.S. Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
	Number - Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear RECEIVED	
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				FOR	EIGN PATENT DOCUM	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code ³ Number ⁴ (if known)		T ⁶				
		wo	00/08909	WIPO	February 24, 2000	International Business Machines Corportion		
		wo	00/39733	WIPO	July 6, 2000	Universal Music Group		
	-	EP	0 715 244 A1	EPO	June 5, 1996	Xerox Corporation		
			OTHER	PRIOR ART	T – NON PATENT LITERA	ATURE DOCUMENTS		
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.					T ²	
	i	European Search Report dated July 20, 2004 in EP 02 70 1056						
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Examiner	Date	\neg
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.